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01/26/1999

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5,849,278

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 3

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Application Number	09/938,454
Filing Date	August 24, 2001
First Named Inventor	Collins
Group Art Unit	1615
Examiner Name	H. Sheikh
Attorney Docket Number	NEU-40

		U.S. Patent Docume	ent		Date of Publication	
Examiner Initials	Cite No.1	Kind Code <sup>2</sup> Number (if known)		Kind Code <sup>2</sup> of Cited Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
15		5,266,321		Shukuzaki et al.	11/30/1993	
		5,364,885		Ahluwalia et al.	11/15/1994	
		5,412,004		Tachibana et al.	05/02/1995	
		5,709,850		Mondet et al.	01/20/1998	
		5,800,825		McMullen	09/01/1998	
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		5,833,967		Ramin	11/10/1998	
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**U.S. PATENT DOCUMENTS** 

**FOREIGN PATENT DOCUMENTS** 

Piot et al.

Piot et al.

Swinehart

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				Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant		
Examiner Initials	Cite No.1	Office <sup>3</sup>	Number⁴ Kir	ndCode <sup>5</sup>	Applicant of Cited Document	mm-dd-yyyy	passages or relevant figures appear	T <sup>6</sup>
AS		PCT	WO / 87/06807	A1	Dahm, K.	11/19/1987		
î		РСТ	WO 92/11785	A1	Maybe Holding Co.	07/23/1992		
		PCT	WO 93/11683	A1	Toll, Charlotte	06/24/1993		
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		PCT	WO 01/15510 /	A2	Clay, Mary	03/08/2001		
9/8		РСТ	WO 01/28383	A1	Chang, Charles	04/26/2001		

Examiner Signature	unua N. O.	Keill	Date Considered	08/27/02

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				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite	U.S. Patent Doo	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
96		5,874,072		Alwattari et al.	02/23/1999	
2/S_		5,939,079		Le Royer et al.	08/17/1999	
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#### **FOREIGN PATENT DOCUMENTS** Date of Publication Pages, Columns, Lines, Foreign Patent Document of Cited Document where relevant Name of Patentee or Examiner Cite passages or relevant Тв mm-dd-yyyy Applicant of Cited Document Initials figures appear Office<sup>3</sup> Number⁴ KindCode<sup>5</sup> No.1 PCT WΩ Α1 06/14/2001 Color Access Inc. 01/41599 /

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First Named Inventor	Collins
Group Art Unit	1615
Examiner Name	H. Sheikh
Attorney Docket Number	NEU-40

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
		Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item	T <sup>2</sup>
Examiner's	Cite	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	T*
Initials*	No. <sup>1</sup>	publisher, city and/or country where published	
20	<i>\</i>	WENNINGER, JOHN AND MCEWEN, G.N., International Cosmetic Ingredient Dictionary and Handbook, Seventh Edition, 1997, pages 1604-1605, 1636-1638, 1628-1630, 1611, 1650-1651, 1653-1655, 1679-1686, The Cosmetic, Toiletry, and Fragrance Association, Washington, DC.	
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Examiner ( Signature	Vunera X.	Skein)	Date Considered	08/~	/	

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Group Art Unit	1615
Examiner Name	Humera N. Sheikh
Attorney Docket Number	NEU-40

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
S		Copy of Packaging CoverGirl CG Smoothers Natural Lash & Brow Mascara, color clear 800, publicly available prior to August 24, 2001	
NS		Copy of Packaging Neutrogena Full Length Defining Mascara, color brown black 03, publicly available prior to August 24, 2001	
C/S		Copy of Packaging Neutrogena Full Volume Fortifying Mascara, color Rich Black 01, publicly available prior to August 24, 2001	
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